



## Compliance and awards

June 2024

# DocuWare Compliance

Standard	Scope	Link to document or further information (pls copy link to browser)	DocuWare version	DocuWare Cloud	DocuWare On-premises	Company procedure	Auditor / accredited body
<b>DocuWare certifications</b>							
<b>Agencia Tributaria (AEAT)</b>	Requirements set by Spain's Tax Authority (Agencia Estatal de Administración Tributaria, AEAT) for archiving paperbased documents, incl. Regulation EHA/932/2007 Article 7 and the resolution from October 24, 2007. Basis for the digitalization and deletion policy for supplier invoices.	Please contact your sales representative	7	✓	✓		Agencia Tributaria, Madrid, Spain
<b>AO</b>	Tax code [Abgabenordnung], Germany, see GoBD	See GoBD		✓	✓		See GoBD
<b>DATEV</b>	The DocuWare Connect to DATEV Rechnungswesen interface has been tested and approved by DATEV with regard to technical functionality and interaction with the DATEV solution.	<a href="https://developer.datev.de/datev/platform/de/hersteller-mit-online-schnittstelle">https://developer.datev.de/datev/platform/de/hersteller-mit-online-schnittstelle</a>					DATEV
<b>e-ARS 2019/SW021</b>	Protection of Documents and Archives and Archival Institutions Act (ZVDAGA). Support of the complete digital content management process (archive and documentary)	<a href="https://group.docuware.cloud/DocuWare/Platform/WebClient/8f46c799-2867-4a02-a1dd-41df7421deb7/Integration?fc=04e8017a-709e-4c91-81d1-9a499747968e&amp;did=12077&amp;p=V">https://group.docuware.cloud/DocuWare/Platform/WebClient/8f46c799-2867-4a02-a1dd-41df7421deb7/Integration?fc=04e8017a-709e-4c91-81d1-9a499747968e&amp;did=12077&amp;p=V</a>	7.2		✓		Republic of Slovenia, Ministry of Culture, National Archive of Slovenia with Vibor d.o.o., Ljubljana, and Mikroprimar d.o.o., Maribor, Slovenia
<b>Electronic Books Maintenance Act</b> (電帳法スキャナ保存ソフト法的要件認証)	Requirements for "Electronic Books Maintenance Act" in compliance with the 2021 revision	<a href="https://www.jiima.or.jp/certification/denchouhou/software_list/">https://www.jiima.or.jp/certification/denchouhou/software_list/</a>	7.5	✓			Japan Image and Information Management Association (JIIMA)
<b>Electronic Transaction Software</b> (電子取引ソフト法的要件認証)	Requirements for "Electronic Transaction Software" in compliance with the 2021 revision set by Japan Image and Information Management Association (JIIMA)	<a href="https://www.jiima.or.jp/certification/denchouhou/software_list/">https://www.jiima.or.jp/certification/denchouhou/software_list/</a>	7.5	✓			Japan Image and Information Management Association (JIIMA)
<b>GeBüV</b>	Ordinance on Accounting [Geschäftsbücherverordnung], Switzerland	<a href="https://www.docuware.com/main.asp?sig=dlx&amp;lan=de&amp;loc=de&amp;dwdblan=german&amp;dwdbname=Zertifikat+Geschaeftsbuecherverordnung+Schweiz">https://www.docuware.com/main.asp?sig=dlx&amp;lan=de&amp;loc=de&amp;dwdblan=german&amp;dwdbname=Zertifikat+Geschaeftsbuecherverordnung+Schweiz</a>	7.9	✓	✓		krm Kompetenzzentrum Records Management, Zollikon, Switzerland

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<b>GoBD</b>	IDW ERS FAIT 3/IDW PS 880 Principles for the proper management and storage of books, records and documents in electronic form, as well as data access [Grundsätze zur ordnungsmäßigen Führung und Aufbewahrung von Büchern, Aufzeichnungen und Unterlagen in elektronischer Form sowie zum Datenzugriff] incl. HGB and AO, Germany	<a href="https://www.docuware.com/main.asp?sig=dl&amp;lan=de&amp;loc=de&amp;dwbldan=german&amp;dwbldname=Softwarebescheinigung+Docuware+V7">https://www.docuware.com/main.asp?sig=dl&amp;lan=de&amp;loc=de&amp;dwbldan=german&amp;dwbldname=Softwarebescheinigung+Docuware+V7</a>	7.2	✓	✓		Peters, Schönberger & Partner (PSP) Munich, Germany
<b>HGB</b>	Commercial code [Handelsgesetzbuch], Germany, see GoBD	See GoBD	7	✓	✓		See GoBD
<b>DIN EN ISO 9001:2015</b>	Quality management system (QMS) for development, sales and support of software for document management and workflow automation	<a href="https://www.docuware.com/main.asp?sig=dl&amp;lan=en&amp;loc=en&amp;dwbldan=english&amp;dwbldkat=sales*&amp;dwbldname=Certificate+ISO+9001">https://www.docuware.com/main.asp?sig=dl&amp;lan=en&amp;loc=en&amp;dwbldan=english&amp;dwbldkat=sales*&amp;dwbldname=Certificate+ISO+9001</a>				✓	DQS GmbH, Frankfurt am Main, Germany
<b>ISO/IEC 27001</b>	DocuWare is certified to ISO/IEC 27001 for the implementation and continuous improvement of its Information Security Management System (ISMS).	Please contact your sales representative				✓	OCG Austrian Computer Society, Vienna, Austria
<b>ISO 15489-1:2016</b>	Information and Documentation Records Management, P. 1: Concepts and Principles	<a href="https://www.docuware.com/main.asp?sig=dl&amp;lan=all&amp;loc=de&amp;dwbldan=all&amp;dwbldname=ISO+CERTIFICATION+15489-1+2016">https://www.docuware.com/main.asp?sig=dl&amp;lan=all&amp;loc=de&amp;dwbldan=all&amp;dwbldname=ISO+CERTIFICATION+15489-1+2016</a>	6.x		✓		SIQ, Ljubljana, Slovenia
<b>ISO 16175-1 Statement of compliance</b>	Ricoh Netherlands has obtained a Statement of Compliance to the ISO 16175-1 norm for DocuWare version 7. ISO 16175-1 applies to processes and functional requirements for software managing records.	Please contact your sales representative	7	✓	✓		Via Ricoh Netherlands
<b>NF203</b>	DocuWare is NF203 certified for digital safes. The certification covers all functional areas of computerized accounting (purchasing, sales, inventory, fixed assets and accounting) as well as digital safes (NF203 CCFN).	<a href="https://www.docuware.com/ssl/main.asp?sig=dl&amp;a=1&amp;lan=de&amp;loc=de&amp;dwbldname=CERTIF%20203437-1+DOCUWARE">https://www.docuware.com/ssl/main.asp?sig=dl&amp;a=1&amp;lan=de&amp;loc=de&amp;dwbldname=CERTIF%20203437-1+DOCUWARE</a>	7.10	✓	✓		AFNOR Certification and INFOCERT, La Plaine Saint-Denis, France

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<b>NF552 on GDPR</b>	DocuWare has been awarded the NF Software certification on data protection (NF552), issued by INFOCERT with the support of the French Standardization Association (AFNOR). This certificate establishes that the DocuWare solution can be used in accordance with the General Data Protection Regulation (GDPR).	Please contact your sales representative	7.8	✓	✓		AFNOR Certification and INFOCERT, La Plaine Saint-Denis, France, <a href="https://certificates.infocert.org/">https://certificates.infocert.org/</a>
<b>Qualiopi</b>	DocuWare has been awarded the Qualiopi certificate for its training courses in France by auditor Socotec. The certification attests to the quality of the training processes and provides greater clarity for companies and users regarding the training offered.	<a href="https://www.docuware.com/main.asp?sig=dlx&amp;lan=de&amp;loc=de&amp;dwdblan=german&amp;dwdbname=%20CERTIFICAT+QUALIOP E+DOCUWARE">https://www.docuware.com/main.asp?sig=dlx&amp;lan=de&amp;loc=de&amp;dwdblan=german&amp;dwdbname=%20CERTIFICAT+QUALIOP E+DOCUWARE</a>				✓	Socotec, Belfort, France
<b>SAP</b>	SAP S/4 HANA	<a href="https://www.docuware.com/main.asp?sig=dlx&amp;lan=en&amp;loc=en&amp;dwdblan=english&amp;dwdbname=Certificate+SAP+INTEGRATION+CERTIFICATION">https://www.docuware.com/main.asp?sig=dlx&amp;lan=en&amp;loc=en&amp;dwdblan=english&amp;dwdbname=Certificate+SAP+INTEGRATION+CERTIFICATION</a>					SAP, Walldorf, Germany
<b>SÄHKE2</b>	In Finland, DocuWare fulfils the requirements set by the National Archives' Certification of electronic information systems to SÄHKE2 criteria	<a href="https://www.docuware.com/main.asp?sig=dlx&amp;lan=en&amp;loc=en&amp;dwdblan=english&amp;dwdbname=Certificate+SÄHKE2+CERTIFICATION">https://www.docuware.com/main.asp?sig=dlx&amp;lan=en&amp;loc=en&amp;dwdblan=english&amp;dwdbname=Certificate+SÄHKE2+CERTIFICATION</a>	7.4	✓			Kiwa, Helsinki, Finland
<b>SOC 2, Type 2</b>	System and Organization Controls: Security and availability (2024)	Please contact your sales representative		✓			CohnReznick, USA

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<b>Data privacy standards that DocuWare helps you meet</b>							
<b>APPI</b>	The Act on Protection of Personal Information applies to all companies in Japan that process personal information. It has an international scope of application similar to the EU GDPR. There is currently no certification for it. DocuWare enables your company to secure data and documents to ensure APPI compliance.	<a href="https://www.cas.go.jp/ip/seisaku/hourei/data/APPI.pdf">https://www.cas.go.jp/ip/seisaku/hourei/data/APPI.pdf</a>					
<b>CCPA</b>	The California Consumer Privacy Act governs how companies around the world must handle the personal information of California residents. Any violation of the rules may result in high penalties. Currently, there is no CCPA certification. With DocuWare, you can store and process data and documents to ensure CCPA compliance.	<a href="https://leginfo.ca.gov/pub/leginfo/legislatu/vfaces/codes_displayText.xhtml?division=3.&amp;part=4.&amp;lawCode=CIV&amp;title=1.81.5">https://leginfo.ca.gov/pub/leginfo/legislatu/vfaces/codes_displayText.xhtml?division=3.&amp;part=4.&amp;lawCode=CIV&amp;title=1.81.5</a>					
<b>GDPR</b>	The European General Data Protection Regulation addresses the protection of personal data in the EU and the EEA. It affects EU-based companies and foreign companies operating in the EU. Currently there is no GDPR certification. DocuWare enables you to store and process data and documents to ensure GDPR compliance.	<a href="https://eur-lex.europa.eu/eli/reg/2016/679/oj">https://eur-lex.europa.eu/eli/reg/2016/679/oj</a>					
<b>HIPAA</b>	Health Insurance Portability and Accountability Act, United States of America. This US healthcare law establishes requirements for the use, disclosure, and safeguarding of individually identifiable health information.	<a href="https://www.hhs.gov/hipaa/index.html">https://www.hhs.gov/hipaa/index.html</a>					

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POPIA	The Protection of Personal Information Act requires companies in South Africa to protect personal information collected from unauthorized access, unlawful use or loss and to obtain the consent of the individual. There is no POPIA certification. DocuWare enables you in complying with these requirements.	<a href="https://popia.co.za/">https://popia.co.za/</a>					

**For certifications of the Microsoft Azure platform (SOC, CSA, SOX, ISO/IEC 27017, NIST, and many more), see <https://docs.microsoft.com/en-us/azure/compliance/>**

## Abbreviations

DIN	<i>Deutsches Institut für Normung e.V. (German Institute for Standardization)</i>
ISO	<i>International Organization for Standardization</i>
IEC	<i>International Electrotechnical Commission</i>

## DocuWare Awards

Award	Scope	Link
<b>business.com</b>	The Best Document Management Software of 2024: Best DMS for Workflow Automation	<a href="https://www.business.com/reviews/docuware/">https://www.business.com/reviews/docuware/</a>
<b>Capterra Shortlist</b>	Listed on the Capterra Shortlist 2024	<a href="https://www.capterra.com/p/220466/DocuWare/">https://www.capterra.com/p/220466/DocuWare/</a>
<b>DM Awards</b>	Voted Accounts Payable/Invoicing Product of the Year 2023 at Document Management Awards, UK	<a href="https://dmawards.com/">https://dmawards.com/</a>
<b>Front Runner</b>	Listed as a "Front Runner" on Software Advice in 2024.	<a href="https://www.softwareadvice.com/document-version-control/docuware-profile/">https://www.softwareadvice.com/document-version-control/docuware-profile/</a>
<b>Funkschau</b>	In the Funkschau readers' choice "ICT Products of the Year 2022", DocuWare was awarded in the category "DMS, ECM and EIM"	<a href="https://de.linkedin.com/posts/docuware-corporation_itkprodukt22-dms-ecm-activity-6990604685065748481-Cj1d">https://de.linkedin.com/posts/docuware-corporation_itkprodukt22-dms-ecm-activity-6990604685065748481-Cj1d</a>
<b>G2 Crowd</b>	Leader on the G2 Crowd Grid for Enterprise Content Management 2019-2024	<a href="https://www.g2.com/products/docuware/reviews">https://www.g2.com/products/docuware/reviews</a>
<b>Gartner Peer Insights</b>	4.3 of 5 stars in Content Services Platforms (2023)	<a href="https://www.gartner.com/reviews/market/content-services-platforms/vendor/ricoh-docuware/product/docuware">https://www.gartner.com/reviews/market/content-services-platforms/vendor/ricoh-docuware/product/docuware</a>
<b>GED Award</b>	Voted Best ECM Solution for SMBs 2023-2024 by independent testing laboratory DataMaster Lab in France.	<a href="https://start.docuware.com/fr/blog/docuware-elue-meilleure-solution-ged-2023/2024-par-datamaster-lab">https://start.docuware.com/fr/blog/docuware-elue-meilleure-solution-ged-2023/2024-par-datamaster-lab</a>
<b>Nucleus Research</b>	Recognized on the Content Services and Collaboration (CSC) Technology Value Matrix from Nucleus Research, July 2023 - report X137	Please contact your sales representative
<b>Premio Nacional Spain</b>	Voted best DMS solution of the year 2023 at the "21st Century National Technology Awards" in Spain.	<a href="https://www.elsuplemento.es/premiado/docuware-premio-nacional-de-tecnologia-2023-en-la-categoria-soluciones-dms">https://www.elsuplemento.es/premiado/docuware-premio-nacional-de-tecnologia-2023-en-la-categoria-soluciones-dms</a>
<b>SaaS Leader Award</b>	DocuWare Cloud earned the SaaS Leader 2023 Award in the category "Documents and Processes" by Cloud Ecosystem.	<a href="https://www.cloudecosystem.org/saas-leader-award-2023-winner/">https://www.cloudecosystem.org/saas-leader-award-2023-winner/</a>
<b>SoftDoit</b>	TOP 4 best DMS solution 2024 on SoftDoit in Spain	<a href="https://www.softwaredoit.es/docuware/docuware.html">https://www.softwaredoit.es/docuware/docuware.html</a>
<b>Software Reviews Gold Medal &amp; Top Rated</b>	Recognized as a gold medalist in the 2024 Data Quadrant and received a "Top Rated" award	<a href="https://www.softwarereviews.com/products/docuware">https://www.softwarereviews.com/products/docuware</a>
<b>Stevie Awards</b>	DocuWare Support Team won the Silver award for customer satisfaction ratings for support services in areas such as first response, problem resolution, competence and friendliness	<a href="https://stevieawards.com/gsa/gewinner-der-german-stevie-awards-2024">https://stevieawards.com/gsa/gewinner-der-german-stevie-awards-2024</a>
<b>The Cannata Report</b>	Frank Award for Best ECM/Document Management Provider, 2022	<a href="https://www.thecannatareport.com/awards-charity/frank-award-winners-announced-at-37th-annual-awards-charities-gala-benefitting-endowed-scholarship-at-hackensack-meridian-school-of-medicine/">https://www.thecannatareport.com/awards-charity/frank-award-winners-announced-at-37th-annual-awards-charities-gala-benefitting-endowed-scholarship-at-hackensack-meridian-school-of-medicine/</a>